

# Filtrations, Mild groups and Arithmetic in an Equivariant context

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- Class group of cyclotomic fields, UFD and Fermat's last theorem

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- Current proof, Roquette-Wingberg.

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- $d = \dim_{\mathbb{F}_p} H^1(G; \mathbb{F}_p) = \dim_{\mathbb{F}_p}(G/G^p[G; G])$  and  
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- We have  $F_1 = \mathbb{Z}_p$ , and  $F_n = \widehat{F_{n-1} * \mathbb{Z}_p}$ .

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- $K$  a local field,  $\chi(\kappa) \neq p$  and  $\mu_p \subset K$ . Then

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$\sigma$  is a Frobenius,  $\tau$  is a generator of the inertia subgroup and exact sequence:

$$1 \rightarrow \text{Gal}(\hat{K}/T_K) \rightarrow \text{Gal}(\hat{K}/K) \rightarrow \text{Gal}(T_K/K) \rightarrow 1.$$

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# Notations

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- $G_n := \{g \in G; g - 1 \in Alp_n(G)\}$ : Zassenhaus filtration of  $G$ ,

$$Grad(G) := \bigoplus_{n \in \mathbb{N}} G_n/G_{n+1}, \quad a_n := \dim_{\mathbb{F}_p}(G_n/G_{n+1}).$$

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We can quote [Labute 1985] and [Mináč-Tân 2015], who studied these filtrations for some pro- $p$  groups (free, one relators...).

## Example

- If  $G := \mathbb{Z}/p\mathbb{Z}$ , then  $Alp(G) \simeq \mathbb{F}_p[X]/(X^p - 1)$ , and:

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- If  $G$  is free with  $d$  generators, then  $Alp(G) \simeq \mathbb{F}_p\langle\langle X_1; \dots; X_d \rangle\rangle$ , and

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- We can also compute  $gocha(G, t)$ , when  $cd(G) \leq 2$ .

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- Magnus' isomorphism:

$$\begin{aligned}\phi: Alp(F) &\simeq \mathbb{F}_p \langle\langle X_j; 1 \leq j \leq d \rangle\rangle \\ x_j &\mapsto X_j + 1.\end{aligned}$$



# Working on quotient of Series

- Define  $E$  the algebra  $\mathbb{F}_p\langle\langle X_j; 1 \leq j \leq d \rangle\rangle$  filtered by  $\deg(X_j) = 1$ ,  $\{E_n\}_{n \in \mathbb{N}}$  its filtration.

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- Denote  $I(R) := \langle \rho_j := \phi(l_j - 1) \rangle$ .
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Here  $G_n$  denotes the Zassenhaus filtration of  $G$ .

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- Let  $n_j$  be the weight of  $\rho_j$ , i.e.  $\rho_j \in E_{n_j} \setminus E_{n_j+1}$ . Define  $\bar{\rho}_j$  the image of  $\rho_j$  in  $E_{n_j} / E_{n_j+1} \subset \mathcal{E}$ .

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- Observe  $\langle \bar{\rho}_j \rangle \subset \mathcal{I}(R)$ . Mild criterion gives equality.
- Define  $r(t) := \sum_j t^{n_j}$ .
- Result:

$$\text{gocha}(G, t)(1 - dt + r(t)) \geq 1.$$

## Golod-Shafarevich Theorem

$G$  finite implies for every  $t \in [0; 1]$ :

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## Corollary

If  $G$  is finite, then

$$d^2 < 4r.$$

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Proposition (Jennings-Lazard Formula, Proposition 3.10 in Appendice A [Lazard 1965])

$$\text{gocha}(G, t) = \prod_{n \in \mathbb{N}} P_n(t)^{a_n}, \quad \text{where } P_n(t) := \left( \frac{1 - t^{pn}}{1 - t^n} \right). \quad (1)$$

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Let us deduce some consequences of Formula (1):

## Gocha's alternative, Theorem 3.11 of Appendice A.3 [Lazard 1965]

We have the following alternative:

- Either  $G$  is an analytic pro- $p$  group, i.e Lie group over  $\mathbb{Q}_p$ , so there exists an integer  $n$  such that  $a_n = 0$  and the sequence  $(c_n)_{n \in \mathbb{N}}$  has polynomial growth with  $n$ .

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- Or  $G$  is not an analytic pro- $p$  group, then for every  $n \in \mathbb{N}$ ,  $a_n \neq 0$ , and the sequence  $(c_n)_{n \in \mathbb{N}}$  does admit an exponential growth with  $n$ .



# Consequences of Formula 1

In 2016, Mináč, Rogelstad and Tân gave an explicit formula relating  $a_n$  and  $c_n$ , by introducing:

$$\log(\text{gocha}(G, t)) := - \sum_{n \in \mathbb{N}} \frac{(1 - \text{gocha}(G, t))^n}{n} := \sum_{n \in \mathbb{N}} b_n t^n.$$

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Proposition (Proposition 3.4 of [Mináč, Rogelstad and Tân 2016])

If we write  $n = mp^k$ , with  $m$  coprime to  $p$ , then

$$a_n = w_m + w_{mp} + \cdots + w_{mp^k};$$

$$\text{where } w_n := \frac{1}{n} \sum_{m|n} \mu(n/m) m b_m \quad \text{and} \quad \mu \text{ is the Möbius function.} \quad (2)$$

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implies

$$cd(G) = 2 \quad \text{and} \quad \dim_{\mathbb{F}_p}(H^2(G, \mathbb{F}_p)) = r(1).$$

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$$M_\chi := \{x \in M; \quad \forall \sigma \in \Delta, \quad \sigma(x) = \chi(\sigma)x\}.$$

Focus on the graded set  $\text{Grad}(G)_\chi := \bigoplus_n (G_n/G_{n+1})_\chi$  and

$$a_n^\chi := \dim_{\mathbb{F}_p}((G_n/G_{n+1})_\chi), \quad c_n^\chi := \dim_{\mathbb{F}_p}((\text{Alp}_n(G)/\text{Alp}_{n+1}(G))_\chi).$$

Following ideas of [Filip 2011], we introduce:

$$\text{gocha}^*(G, t) := \sum_{n \in \mathbb{N}} \left( \sum_{\chi} c_n^{\chi} \chi \right) t^n \in R_{\mathbb{F}_p}[\Delta][[t]].$$

Where  $R_{\mathbb{F}_p}[\Delta]$  is the semi-ring generated by  $\chi$ 's over  $\mathbb{Z}$ .

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Where  $R_{\mathbb{F}_p}[\Delta]$  is the semi-ring generated by  $\chi$ 's over  $\mathbb{Z}$ .

**Theorem: [H. 2022, Theorem A]**

$$\text{gocha}^*(G, t) = \prod_{n \in \mathbb{N}} \prod_{\chi} P_{n;\chi}(t)^{a_n^\chi},$$

where 
$$P_{n;\chi}(t) := \frac{1 - (\chi t^n)^p}{1 - \chi t^n}.$$

# Consequences

Denominate:

$$\log(\text{gocha}^*(G, t)) := - \sum_{n \in \mathbb{N}} \frac{(1 - \text{gocha}^*(G, t))^n}{n} := \sum_{n \in \mathbb{N}} \left( \sum_{\chi} b_n^{\chi} \chi \right) t^n.$$

Logarithm of series with coefficients in  $R_{\mathbb{F}_p}[\Delta] \otimes_{\mathbb{Z}} \mathbb{Q}$  were first studied by [Filip 2011]. We infer:

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Logarithm of series with coefficients in  $R_{\mathbb{F}_p}[\Delta] \otimes_{\mathbb{Z}} \mathbb{Q}$  were first studied by [Filip 2011]. We infer:

**Proposition:** [H. 2022, Formula 2]

Write  $n := mp^k$ , with  $m$  coprime to  $p$ , and assume  $q$  is coprime with  $n$ .

Then:

$$a_n^{\chi} = w_m^{\chi} + w_{mp}^{\chi} + \cdots + w_{mp^k}^{\chi},$$

$$\text{where } w_n^{\chi} := \frac{1}{n} \sum_{m|n} \mu(n/m) m b_m^{\chi^{m/n}} \in \mathbb{Q}.$$

# Properties of $\log$

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- *If  $P$  and  $Q$  are in  $1 + tR_{\mathbb{F}_p}[\Delta][[t]]$ , then:*

$$\log(PQ) = \log(P) + \log(Q), \quad \text{and}$$

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- If  $u$  is in  $tR_{\mathbb{F}_p}[\Delta][[t]]$ , then

$$\log\left(\frac{1}{1-u(t)}\right) = \sum_{\nu=1}^{\infty} \frac{u(t)^{\nu}}{\nu}.$$

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Assume  $G$  infinite, then Pigeonhole principle: There exists at least one  $\chi$  such that  $\text{Grad}(G)_\chi$  is infinite.

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*Main Question: For which  $\chi$ , is  $\text{Grad}(G)_\chi$  infinite ?*

Partial answer when  $G$  is not analytic.

# Table of Contents

- 1 Notions on  $\text{pro-}p$  groups
- 2 Filtrations, Gocha's series and Mild groups
- 3 Results on Equivariant case
- 4 Examples

## Theorem C

Assume that  $G$  is a noncommutative free pro- $p$  group.  
Then for every  $\chi$ , the graded set  $\text{Grad}(G)_\chi$  is infinite.

## Example

- $\Delta := \langle \sigma \rangle$  of order 2, and  $\chi_0$  the unique nontrivial character.
- $G$  is free generated by  $\{x_1; \dots; x_d\}$ , and  $\sigma(x_i) := x_i^{-1}$ .



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- $G$  is free generated by  $\{x_1; \dots; x_d\}$ , and  $\sigma(x_i) := x_i^{-1}$ .
- Observe:

$$\text{gocha}^*(G, t) := \frac{1}{1 - d\chi_0 t}, \quad \text{and}$$

$$\log(\text{gocha}^*(G, t)) := \sum_n \frac{(d\chi_0)^n}{n} t^n.$$

- Then  $c_{2n}^{\mathbb{1}} = d^{2n}$ ,  $c_{2n+1}^{\mathbb{1}} = 0$ ,  $c_{2n}^{\chi_0} = 0$ ,  $c_{2n+1}^{\chi_0} = d^{2n+1}$ .
- $b_{2n+1}^{\chi_0} := d^{2n+1}/(2n+1)$ ,  $b_{2n}^{\chi_0} = 0$ ,  
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 $b_{2n+1}^{\mathbb{1}} = 0$ ,  $b_{2n}^{\mathbb{1}} = d^{2n}/(2n)$ .
- From [H. 2022, Formula 2], one obtains when  $p \neq 3$ :

$$a_3^{\chi_0} = w_3^{\chi_0} = \frac{d^3 - d}{3}, \quad \text{and } a_3^{\mathbb{1}} = 0.$$

$$\text{cd}(G) = 2$$

**Theorem:** [H. 2022, Theorem B]

Assume that the polynomial  $\chi_{eul, \chi_0}(t)$  admits a unique root of minimal absolute value, which is real in  $]0; 1[$ .

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**Theorem: [H. 2022, Theorem B]**

Assume that the polynomial  $\chi_{\text{eul}, \chi_0}(t)$  admits a unique root of minimal absolute value, which is real in  $]0; 1[$ .

Then for every  $\chi$ , the graded set  $\text{Grad}(G)_\chi$  is infinite.

## Example

- Take  $p = 103$  and  $q = 17$ . Fix the character  $\chi_0: \Delta \rightarrow \mathbb{F}_{103}^\times; \sigma \mapsto \bar{8}$ .

# Complete example when $\text{cd}(G) = 2$

## Example

- Take  $p = 103$  and  $q = 17$ . Fix the character  $\chi_0: \Delta \rightarrow \mathbb{F}_{103}^\times; \sigma \mapsto \bar{8}$ .
- Consider the pro-103 group  $G$ , generated by three generators  $x, y, z$  and the two relations  $u = [x; y]$  and  $v = [x; z]$ .

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- Then  $\text{cd}(G) = 2$  and

$$\text{gocha}(G, t) := 1/(1 - 3t + 2t^2).$$

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- Automorphism  $\sigma$  on  $G$ , by:  
 $\sigma(x) := x^8, \sigma(y) := y^{8^2}$  and  $\sigma(z) := z^{8^3}$ .



## Example

One obtains from Formula (2):  $a_2 = 1$  and  $a_3 = 2$ .

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We compute:

$$\text{gocha}^*(G, t) := \frac{1}{1 - (\chi_0 + \chi_0^2 + \chi_0^3)t + (\chi_0^3 + \chi_0^4)t^2}, \quad \text{and}$$

$$\log(\text{gocha}^*(G, t)) = (\chi_0 + \chi_0^2 + \chi_0^3)t + (\chi_0^6/2 + \chi_0^5 + \chi_0^4/2 + \chi_0^2/2)t^2 + (\chi_0^9/3 + \chi_0^8 + \chi_0^7 + \chi_0^6/3 + \chi_0^3/3)t^3 + \dots$$

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[H. 2022, Formula 2] gives us:

- $a_2^{\chi_0^5} = 1$ , so we conclude that  $a_2^{\chi_0^i} = 0$  when  $i \neq 5$ .
- $a_3^{\chi_0^7} = a_3^1 = 1$ . Then if  $i \notin \{0, 7\}$ ,  $a_3^{\chi_0^i} = 0$ .

## Example

Here:

$$\chi_{eul, \chi_0}(t) := 1 - t - t^2 + t^4.$$

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Then by [H. 2022, Theorem *B*], for every  $\chi$ ,  $\text{Grad}(G)_\chi$  is infinite.

# Arithmetic examples: Notations

- Let  $p$  be an odd prime.
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- $K_S$  is the  $p$ -maximal extension unramified outside  $S$ , and  $G_S := \text{Gal}(K_S/K)$ .



## Theorem [Koch 2002]

Let  $S := \{p_i\}$  be a finite tame set of places of a number field  $K$  with class number coprime to  $p$ , then  $G_S := \text{Gal}(K_S/K)$  admits a presentation with  $|S|$  generators and  $|S|$  relations.

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$$l_i \equiv \prod_{j \neq i} [x_j; x_j]^{l_{i,j}} \pmod{F_3}.$$

The coefficient  $l_{i,j}$  is the linking number of  $\mathfrak{p}_i$  and  $\mathfrak{p}_j$ .

# Examples

- Consider [Koch 2002, Example 11.15], take  $p = 3$  and  $S_0 := \{229, 41\}$ . Then the group  $G_{S_0} := \text{Gal}(\mathbb{Q}_{S_0}/\mathbb{Q})$  is finite.

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- If we consider  $K := \mathbb{Q}(i)$ , the primes in  $S_0$  totally split in  $K$ . Here  $G_S := \text{Gal}(K_S/K)$  admits 4 generators and 4 relations, so  $G_S$  is infinite (by GS theorem).

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In fact,  $\text{cd}(G_S) = 2$ .

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- Take  $p = 3$ , and consider  $K := \mathbb{Q}(\sqrt{-163})$ .
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- Put  $\{p_1 := 31, p_2 := 19, p_3 := 13, p_4 := 337, p_5 := 7, p_6 := 43\}$ .
- The class group of  $K$  is trivial, the primes  $p_1, p_2, p_3, p_4, p_5$  are inert in  $K$ , and the prime  $p_6$  totally splits in  $K$ .



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- Define  $S$  the primes above the previous set in  $K$ , and  $K_S$  the maximal  $p$ -extension unramified outside  $S$ .

# FAB example

- Then  $\Delta$  acts on  $G := \text{Gal}(K_S/K)$ , which is FAB by Class Field Theory.
- We can show that the pro- $p$  group  $G$  is mild, so we obtain

$$\text{gocha}(\mathbb{F}_p, t) := \frac{1}{1 - 7t + 7t^2}.$$

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- Furthermore:

$$\text{gocha}^*(\mathbb{F}_p, t) := \frac{1}{1 - (6 + \chi_0)t + (6 + \chi_0)t^2},$$

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- The graded spaces  $\text{Grad}(G)_{\mathbb{1}}$  and  $\text{Grad}(G)_{\chi_0}$  are both infinite dimensional.
- Moreover, we obtain for instance:

$$a_3^{\chi_0} = 24, \quad \text{and} \quad a_3^{\mathbb{1}} = 39.$$

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